

Welcome to Fingerprint Background Checks guide for certified applicants/operators. A fingerprint-based criminal record check is required for certified operators and their adult household members (non-client residents) that receive or are eligible to receive Wisconsin Shares payments, or who have resided in a state other than Wisconsin during the past 3 years.

This training is specific to certification applicants/operators, their adult household members and adult caregivers in certified programs.

Learning Objectives:

- When a fingerprint-based background check is required:
 - For certified operators, adult household members and adult caregivers if the certified operator receives or is eligible to receive Wisconsin Shares reimbursement; or
 - For certified operators, adult household members or other adult caregivers residing in a state other than Wisconsin in the past 3 years
- Understand the Fieldprint® LiveScan digital fingerprint process
- Where to go if you have questions

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During this training you will:

- When a fingerprint-based background check is required:
 - For certified operators, adult household members living in a certified child care and other adult caregivers if the operator receives or is eligible to receive
 Wisconsin Shares reimbursement; or
 - For certified operators, adult household members and other adult caregivers
 residing in a state other than Wisconsin in the past 3 years
- Understand the Fieldprint® LiveScan digital fingerprint process
- Where to go if you have questions

Background – 2013 WI Act 20



In 2013, § 48.685(2)(br), Wis. Stats., was created as part of Wisconsin Act 20. This law requires licensed and certified providers (and applicants), adult employees and adult household members to submit fingerprints for the purposes of a one-time State and FBI criminal background check. This one-time check is only required if the regulated program receives or wishes to receive Wisconsin Shares payments.

Note: Compliance affects the eligibility of a regulated child care provider to receive Wisconsin Shares reimbursement...

... Not a certification rule

Background Wisconsin Act 20:

In 2013, §48.685(2)(br), Wis. Stats., was created as part of Wisconsin Act 20. This law requires licensed and certified operators (and applicants), adult employees/caregivers and adult household members to submit fingerprints for the purposes of a one-time State and FBI criminal background check. This one-time check is only required if the regulated program receives or wishes to receive Wisconsin Shares payments.

A name-based criminal record check uses non-unique identifying data such as name and date of birth. They are less reliable than fingerprint-based checks. It is possible for multiple persons to share a name and date of birth. In some cases, a name-based check may pull up a criminal record that does not belong to the subject of the search.

Please Note: Compliance affects the eligibility of a regulated child care provider to receive Wisconsin Shares reimbursement...it is not a certification rule.

Background - Out of State

If an individual has resided in a state other than Wisconsin during the past 3 years, § 48.685(2)(bm), Wis. Stats., allows the certification agency to require the individual to submit fingerprints for the purpose of verifying their identity and obtaining records of his or her criminal arrests and convictions.

Note: The out of state check *is* a certification requirement and *is* part of the caregiver background check conducted by the certification agency.

Out of State Residents:

The caregiver law requires the certification agency to attempt to conduct criminal record searches in each state outside of Wisconsin that an individual has resided over the past 3 years or obtain fingerprints from the individual to conduct a national criminal record search through the FBI. The certification agency may require individuals that have resided in a state other than Wisconsin during the past 3 years to submit fingerprints for the State and FBI criminal record search. This check also meets the requirement outlined in s. 48.685(2)(br) for Wisconsin Shares reimbursement, if applicable.

Why Digital?

Benefits of capturing prints digitally:

- · Statewide locations
- The individual will need to pay \$7.75 scheduling fee for appointments scheduled after 12/31/15.
- Local law enforcement agencies may not offer fingerprinting services and if they do, many charge an additional fee
- Results typically available within 48 hours versus weeks for ink prints rolled on fingerprint cards
- No inky residue ©
- Able to verify if individual previously met this requirement

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Why Digital Fingerprinting?

The Department of Administration (DOA) has contracted with Fieldprint®, a LiveScan vendor approved by the FBI to obtain fingerprints from individuals for the purposes of a national background check. The benefits of capturing prints digitally include:

- Fieldprint® has nearly 40 locations in Wisconsin, typically located in box front stores such as United Postal Service (UPS) or Mailboxes Etc. The LiveScan location(s) closest to the individual are identified when the appointment is made on-line.
- If there are no LiveScan locations within 35 miles of the individual's location, the location search results will include locations like local law enforcement agencies that utilize fingerprint cards (and potentially charge additional fees not reimbursed by the Department).
- The Department of Children and Families will pay the \$7.75 digital capture scheduling fee until 12/31/15 when an individual uses Fieldprint® and a DCF supplied reason code when scheduling the appointment online. The individual will need to pay the \$7.75 fee for appointments scheduled after 12/31/15. Local law enforcement agencies may charge as much as \$20.00 for an individual to be fingerprinted, and if the prints are rejected the individual will need to be fingerprinted again and typically pay the same additional fee as well.
- Digital fingerprints typically provide results within 48 hours and fingerprints captured digitally are very rarely rejected. Even if your digital prints would be rejected, Fieldprint® does not charge any fee to capture the prints again.
- Fingerprints rolled using ink on cards sent through the mail take several weeks before the results are returned, unless the prints are rejected and the individual needs to be rerolled, potentially incur additional fees and adds more time before the results are available.
- Ink/rolled prints on a card must pass through many hands and have a high rejection rate from the FBI for inadequate prints, which results in weeks or months of additional waiting. Digital prints are sent directly to

DOJ from the Fieldprint® location. After their appointment, the individual can sign into Fieldprint® and "Your Completed Appointment" information will be available.

- No inky residue to get off your fingers
- The most important reason to use digital fingerprinting is the trackability of whether an individual has met the one-time fingerprint-based record check or not. If the individual changes location or employment there is the ability to track the completion of the check so it does not have to be done again.

Overview for Certified Operators, Adult Household Members & Adult Caregivers

- Receive correspondence from your certifying agency.
 The correspondence will included:
 - ✓ A DOJ account # (each certifying agency has their own)
 - ✓ The Fieldprint® reason code: FPWICertification
 - ✓ Name, address and phone number of your certifying agency
 - ✓ Costs associated with the record check, if there are any
 - ✓ Step by step guide to set up a Fieldprint® account and schedule digital fingerprint appointment
- Individual attends appointment
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- Individual attends appointment

The certified operator/applicant, adult household member or adult caregiver must have the certifying agency's Department of Justice (DOJ) account number and appropriate Fieldprint® Code in order to use Fieldprint® services. The Fieldprint® code is the same for all certified operators/applicants, adult household members and adult caregivers in certified programs: **FPWICertification** (not case sensitive).

You must bring 2 forms of ID (including at least 1 photo ID) to the appointment. After attending the appointment, the digital fingerprints are sent to the Wisconsin Department of Justice (DOJ) who submits them to the FBI. All results are processed and made available to certification agency for review in the same manner the name-based criminal record

check results are currently processed.

All Fieldprint® appointments are made on-line so you will need this information with you when you sign in as a user with Fieldprint® and schedule your appointment.

Please Note

- Each person MUST include ALL aliases to avoid running additional record checks and paying additional fees (for example, your maiden name is considered an alias).
 Note: Do not add names of additional individuals in the Alias section of the Fieldprint screen. Each individual must scheduled their own appointment.
- Any cost associated with your record check or record check for a household member is determined by your certifying agency.
- If a caregiver changes employers, the Department of Children and Families (DCF) Caregiver Background Unit (CBU) may be able to verify they have met the fingerprint-based background check requirement.

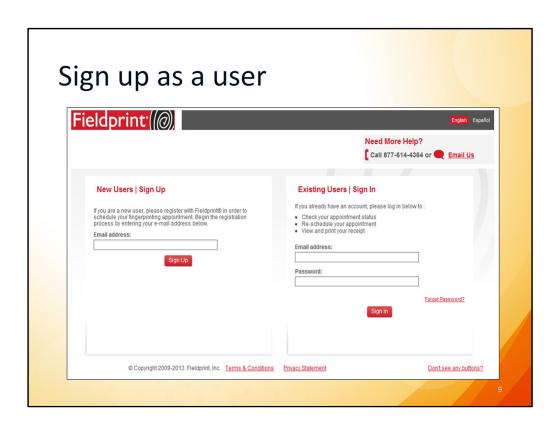
Please note:

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- Any cost associated with your record check or a record check for a household member is determined by your certifying agency
- If a caregiver changes employers, the Department of Children and Families (DCF) –
 Caregiver Background Unit (CBU) may be able to verify they have met the one-time
 fingerprint-based background check requirement.



Go to Wisconsin's Fieldprint® website: www.fieldprintwisconsin.com and click the red "Schedule an Appointment" button to proceed.

Please make sure the Fieldprint® website is for Wisconsin.



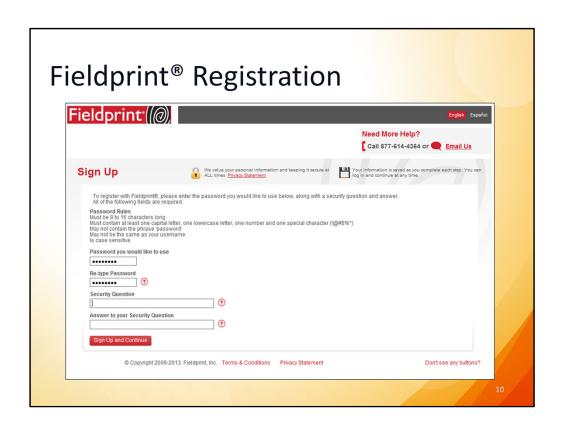
Sign up as a user:

If the individual is not an existing user they need to sign up. Under "New Users/Sign Up the individual enters their Email address and clicks on the red "Sign Up" button. This will take them to the "Sign Up" page on the Fieldprint® site.

If the individual is an existing user, they enter their Email address and Password under "Existing Users/Sign In." This will allow the user to:

- ✓ Check their appointment status
- ✓ Re-Schedule the appointment or
- ✓ View and print receipt

^{*}Appointments that are missed or re-scheduled less than 24 hours in advance will result in an additional \$7.75 fee from Fieldprint®



Fieldprint® Registration:

If the individual is not registered they need to Sign Up and establish a Password, Security Questions and answers to those Security Questions.

To set a password in Fieldprint®:

- It must be 8-16 characters long
- Must contain at least one capital letter, one lowercase letter, one number <u>and</u> one special character (!@#\$%*)
- May not contain the phrase 'password'
- May not be the same as username
- · Is case sensitive

Once the password, password verification, security question and answer to the security questions are completed click the red "Sign Up and Continue" button.

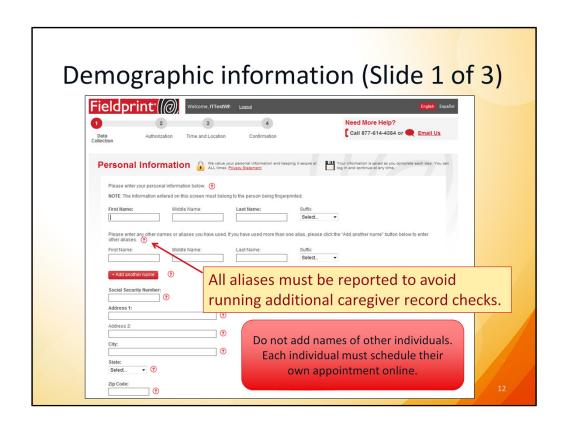


Fieldprint® Code:

On the "Reason" screen it asks for the Fieldprint® Code. This code is only to be used for certified operators/applicants, their adult household members (non-client residents) and adult caregivers in a certified child care facility: FPWICertification

Click the red "Continue" button.

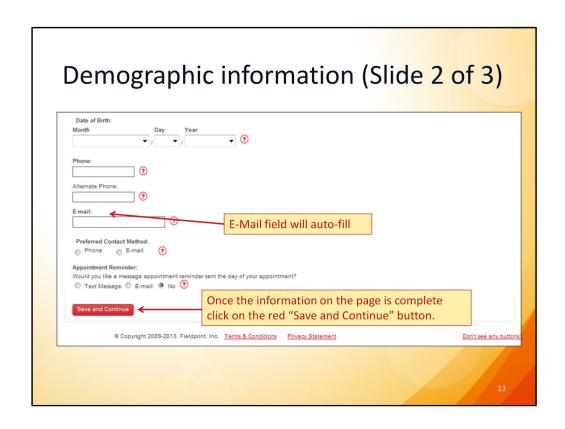
Note: The Fieldprint® Code is not case sensitive.



Demographic information:

Enter personal demographic information (Slide 1 of 3)

- First Name, Middle Name, Last Name, Suffix
- Enter any aliases you have used (including maiden names). If you have more than one alias, click the red "add another name" button to enter each additional name you have been previously known as (for example: previous married name, maiden name, or other name change)
- Social Security Number
- Address
- City
- State
- Zip Code

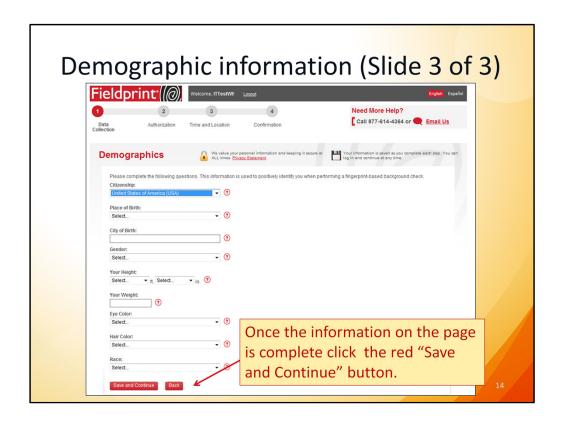


Demographic information:

Enter personal demographic information (Slide 2 of 3)

- Date of Birth: Month/Day/Year
- Phone number
- Alternate Phone number
- EMail address will auto fill using the e-mail address entered to create your Fieldprint® account.
- · Preferred Contact Method: select Phone or Email
- Appointment Reminder: You have a choice to receive an appointment reminder via text message or Email prior to your appointment.

Once the information on the page is complete, click the red "Save and Continue" button. This will save all the information entered to this point in the Fieldprint® system.



Demographic information:

Enter personal demographic information (Slide 3 of 3).

- Citizenship
- · Place of Birth
- · City of Birth
- Gender
- Height: feet/inches
- Weight
- Eye Color
- Hair Color
- Race

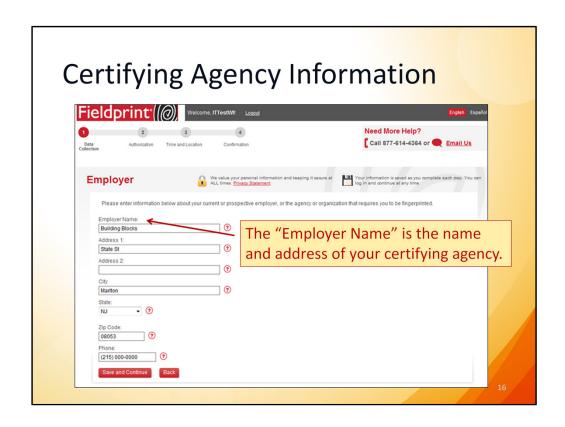
Once the information on the page is complete, click the red "Save and Continue" button. This will save all the information entered up to this point. If user needs to review the information from the page prior click the red "Back" button.



Enter DOJ Account Number:

Enter the Department of Justice (DOJ) account number provided from your certifying agency.

Click on the red "Save and Continue" button.



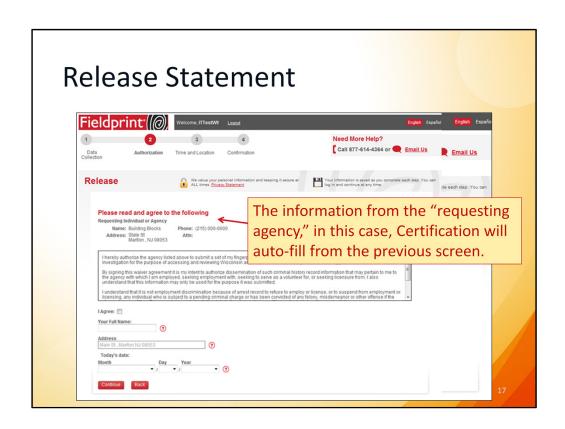
Certifying Agency Information:

On the "Employer" screen individuals will enter the entity requesting the fingerprints which, in this case, is your Certifying Agency.

Under the "Employer Name" field all users will need to enter the name of the Certifying Agency, their address and phone number.

Note: The instructions in the screen above read as follows: "Please enter information below about your current or prospective employer, or the agency or organization that requires you to be fingerprinted." The name of the certification agency shall be entered here for applicants/operators, adult household members and other caregivers in certified programs (not an individual's actual employer).

Click the red "Save and Continue" button.



Release Statement:

The "Release" statement allows you to authorize the requesting agency (in this case the requesting agency is the certification agency) to submit the fingerprints to the Wisconsin Department of Justice and the Federal Bureau of Investigation.

Read through the Release statement.

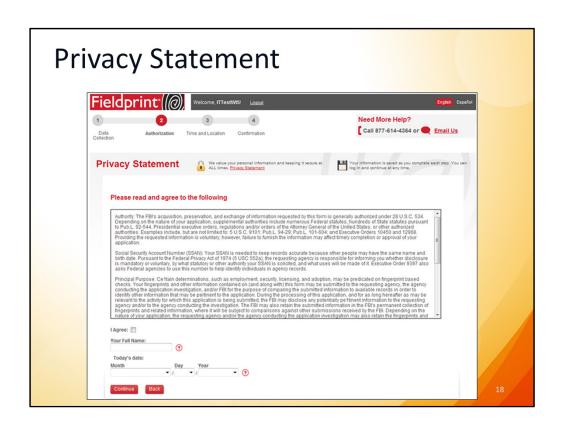
Click on the "I Agree" box.

Enter your Full Name.

Enter your address.

Enter today's date: Month/Day/Year.

Click on the red "Continue" button.



Privacy Statement:

Next, read through the "Privacy Statement."

After reading the entire statement, click on the "I Agree" box.

Enter your Full Name.

Enter Today's date: Month/Day/Year

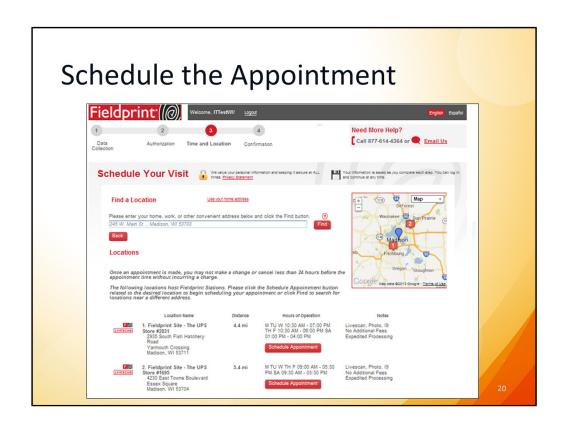
Press the red "Continue" button.



Challenge Notice:

Read over the Challenge Notice. This highlights how the fingerprints may be or not be used; allows the individual an opportunity to challenge the accuracy of contents and states that an individual should not be presumed guilty if there is no final disposition stated or otherwise determined.

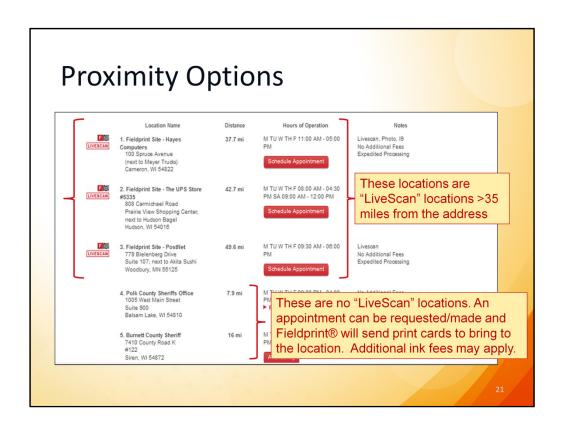
Click the red "Save and Continue" button.



Schedule the Appointment:

To find a Fieldprint® office nearby, the user enters their home address and clicks on the red "Find" button.

A list of the nearest Fieldprint® locations will appear. Select the desired location and click the red "Schedule Appointment" button.



Proximity Options:

When scheduling a Fieldprint® appointment a list of "Livescan" locations nearest to you are listed. The locations where digital prints are captured are denoted by the "Livescan" icon located to the left of the "Location Name" column. If the Fieldprint® locations are more than 35 miles from the address you entered, alternative print card locations will appear. These locations provide ink-rolled fingerprints on cards provided by Fieldprint®.

If you select a print card location, Fieldprint® will mail you a packet that contains instructions, fingerprinting cards and a pre-addressed envelope used to return the completed cards to Fieldprint® after the appointment. Fieldprint® will convert the prints to digital and forward them on to the Department of Justice for processing.

Note: If there is a fee for having prints rolled it will be listed under the "Notes" column on the right hand side. This site fee is paid by the individual directly to the agency that is rolling your prints.

\$7.75 Fieldprint Scheduling Fee After 12/31/15

- After selecting a specific location and scheduling the appointment, but prior to the Appointment Confirmation screen, the individual will need to pay the \$7.75 Fieldprint Scheduling fee if the appointment is made after 12/31/15.
- The individual will not be able to schedule the appointment without payment.

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Appointment Confirmation:

On the "Confirmation" page you will see:

- The option to print this confirmation page and/or direction to the Fieldprint® office location
- The date and time of your scheduled appointment
- That an Email confirmation will be sent
- The location and address of the Fieldprint® office
- · Information on cancelling or rescheduling the appointment
- What to bring to your Fieldprint® appointment:
 - ✓ A printed copy of this Confirmation page
 - ✓ Two forms of acceptable identification...one must be a picture ID

Bring to your appointment

- A print out of the appointment confirmation
- The Fieldprint® Registration number
 - ✓ Located above the appointment date & time
- Two forms of identification
 - ✓ A picture ID and another acceptable form of ID

The appointment cannot be completed if these items are not present.

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Bring to your appointment:

When you come to your fingerprinting appointment you must have a print out of your appointment confirmation which has your registration number. The registration number is located above the appointment date and time.

In addition, two forms of identification are needed. One of the IDs must be a picture ID. A list of all acceptable forms of identification are listed on the next slide.

If any of these items cannot be presented at the time of the appointment the appointment cannot be completed.

Acceptable forms of Picture ID:

- State-Issued Driver's License
- State-Issued Non-Driver's License ID Card
- U.S. Passport
- Military Identification Card
- Work Visa with Photo
- Foreign Passport

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Acceptable forms of Picture Identification:

One of the two forms of identification must be a picture identification. Acceptable forms of picture identification include:

- State-Issued Driver's License
- State-Issued Non-Driver's License ID Card
- · U.S. Passport
- Military Identification Card
- · Work Visa with Photo
- Foreign Passport

If you have questions regarding acceptable forms of ID you may contact Fieldprint® toll-free: (877) 614-4364 or CustomerService@fieldprint.com

Acceptable forms of Secondary ID:

- Credit Card
- Bank Statement
- · Birth Certificate
- Marriage Certificate
- Citizenship or Naturalization Certificate
- Electric/Utility Bill
- Federal Government Personal Identity Verification card
- Social Security Card

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Acceptable forms of secondary identification:

Acceptable forms of the second identification verification include:

- · Credit Card
- Bank Statement
- Birth Certificate
- Marriage Certificate
- Citizenship or Naturalization Certificate
- Electric/Utility Bill
- · Federal Government Personal Identity Verification card
- · Social Security Card

After the Appointment

The digital fingerprints are forwarded to DOJ from the Fieldprint® location and results are available for review by the certifying agency shortly after the appointment is completed.

...Same process the certifying agency follows now to retrieve results

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After the Appointment:

The digital fingerprints are forwarded to DOJ from the Fieldprint® location and results are available for review shortly after the appointment is completed.

This is the same process the certifying agency follows to retrieve name-based background check results.



After the Appointment...

The individual may sign into Fieldprint® and follow up information will be available regarding their appointment.

Here, "Your Completed Appointment" verifies that the individual attended their scheduled appointment and may print a receipt using the red "Print Receipt" button.

Frequently Asked Questions

Click on the Certification Background Check Requirements page below to review FAQs pertaining to Caregiver Background Checks and Fingerprint-Based Background Checks:

https://dcf.wisconsin.gov/cccertification/caregiver

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Fingerprint FAQs

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Contact Information

Fieldprint® Helpline

Phone: 877-614-4364

http://www.Fieldprintwisconsin.com

Certification Contacts

https://dcf.wisconsin.gov/files/ccregulation/cccertification/certifiers.pdf

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